SEC Form 5

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FORM 5

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

Form 4 Transact	ions Reported.	Filed			ne Securities Exchange Act of 1934 tment Company Act of 1940	Ļ					
1. Name and Addres Holloway Jea	n*	2. Issuer Name ARTIVIO		r Trading Symbol AORT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) 1655 ROBERTS	(Middle)	3. Statement fo 12/31/2021	or Issuer's Fisc	cal Year Ended (Month/Day/Year)	X	(0		other (specify elow) <mark>sel</mark>			
(Street) KENNESAW GA 30144 (City) (State) (Zip)			4. If Amendme	nt, Date of Ori	iginal Filed (Month/Day/Year)	6. Indi Line) X	vidual or Joint/Grc Form filed by C Form filed by M Person	one Reporting	Person		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
			2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) or Dispo Of (D) (Instr. 3, 4 and 5)	sed	5. Amount of Securities	6. Ownership	7. Nature of Indirect		

Date (Month/Day/		Execution Date, if any (Month/Day/Year)	Code (Instr.	Of (D) (Instr. 3, 4	4 and 5)		Securities Beneficially Owned at end of	Ownership Form: Direct (D) or	Indirect Beneficial Ownership	
		(Month/Day/Tear)	0)	Amount	(A) or (D)	Price	Issuer's Fiscal	Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock	06/30/2021		A	672	A	\$20.0685 ⁽¹⁾	87,239	D		
Common Stock	12/31/2021		Α	318	Α	\$17.2975 ⁽¹⁾	87,557	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instri and 5	vative rities lired r osed) . 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were acquired pursuant to Artivion Inc.'s Employee Stock Purchase Plan (ESPP). The shares were acquired in transactions exempt pursuant to 16b-3

<u>/s/ Jean F Holloway</u>

** Signature of Reporting Person Date

02/10/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.